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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/758,673

01/16/2004

Danila Valmori

LUD 5483.7 DIV  
(10316191)

7395

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EXAMINER

DIBRINO, MARIANNE NMN

ART UNIT

PAPER NUMBER

1644

MAIL DATE

DELIVERY MODE

02/08/2008

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/758,673

Applicant(s)

VALMORI ET AL.

Examiner

DiBrino Marianne

Art Unit

1644

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 December 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 19 and 21 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 19 and 21 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. Upon further consideration, prosecution on this application is hereby REOPENED.
2. Applicant's response filed 12/3/07 is acknowledged and has been entered.
3. With regard to Applicant's comments about how canceling a claims raises new issues befuddles Applicants (page 4 at paragraphs 1 and 2 of Applicant's said amendment), in the amendment after final filed 10/29/07, Applicant proposed canceling claim 22 which recited the peptide sequence SEQ ID NO: 6 and upon which basis a prior art rejection had been made in the Final Rejection mailed 8/9/02. Said proposed cancellation would necessitate consideration and search of a new species of peptide that had not been previously considered and searched, for example SEQ ID NO: 16.
4. With regard to Applicant's comments on expanding the search (page 4 at paragraph 3 of Applicant's said response), the search was expanded to include SEQ ID NO: 6 as enunciated in the Final Rejection mailed 8/9/07 at the third paragraph on page 2.
5. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
6. Claims 19 and 21 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for inducing proliferation of CTLs, comprising contacting a sample from melanoma patients (*i.e.*, humans with melanoma) with (i) a polytope, wherein said polytope comprises the amino acid sequence set forth in SEQ ID NO: 9, wherein said amino acid sequence forms a complex with an HLA molecule, including HLA-A2, and (ii) a sample of cells which present HLA molecules on their surfaces and which process said polytope to Melan-A peptides which complex with said HLA molecules, wherein the complexes of said HLA molecules and the amino acid sequence of SEQ ID NO: 9 induce proliferation of CTLs, does not reasonably provide enablement for the claimed method wherein the sample containing CTLs is not from a melanoma patient. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to use the invention commensurate in scope with these claims.

The specification discloses at [0109] "Polytopes are groups of two or more potentially immunogenic or immune stimulating peptides, which can be joined together in various ways, to determine if this type of molecule will stimulate and/or provoke an immune response." The specification at [0111] discloses "Also, a feature of the invention is the use of these peptides to determine the presence of cytolytic T cells in a sample. It was shown, supra, that CTLs in a sample will react with peptide/MHC complexes. Hence, if one knows that CTLs are in a sample, HLA-A2 positive cells can be "lysed" by adding the peptides of the invention to HLA-A2 positive cells, such as HLA-A\*0201 positive cells, and then determining, e.g., radioactive chromium release, TNF production, etc. or any other of the methods by which T cell activity is determined. Similarly, one can determine whether or not specific tumor infiltrating lymphocytes ("TILs") are present in a sample, by adding one of the claimed peptides with HLA-A2 positive cells to a sample, and determining lysis of the HLA-A2 positive cells via, e.g., <sup>51</sup>Cr release, TNF presence and so forth. The specification at [0112] discloses "Of course, the peptides may also be used to provoke production of CTLs. As was shown, supra, CTL precursors develop into CTLs when confronted with appropriate complexes. By causing such a "confrontation" as it were, one may generate CTLs. This is useful in an in vivo context, as well as ex vivo, for generating such CTLs." In Example 6, the instant specification discloses that peripheral blood lymphocytes from HLA-A2 positive melanoma patients were purified, enriched for CD8+ T cells, incubated with peripheral blood lymphocytes that were incubated with melanoma peptides, including SEQ ID NO: 9, and CTL activity was assayed.

The specification further discloses at [0013] "The preceding survey of the relevant literature shows that various peptides, usually eight, nine, or ten amino acids in length, complex with MHC molecules and present targets for recognition by cytolytic T cells. A great deal of study has been carried out on melanoma, and melanoma antigens which are recognized by cytolytic T cells are now divided into three broad categories. The first, which includes many of the antigens discussed, supra, (e.g., MAGE), are expressed in some melanomas, as well as other tumor types, and normal testis and placenta. The antigens are the expression product of normal genes which are usually silent in normal tissues. [0014] A second family of melanoma antigens includes antigens which are derived from mutant forms of normal proteins. Examples of this family are MUM-1 ... A third category, also discussed, supra, includes the differentiation antigens which are expressed by both melanoma and melanocytes. Exemplary are tyrosinase, gp100, gp75, and Melan A/Mart-1."

The specification at [0015] discloses "Cytolytic T cells ("CTLs" hereafter) have been identified in peripheral blood lymphocytes, and tumor infiltrating lymphocytes, of melanoma patients who are HLA-A\*0201 positive."

Evidentiary reference Janeway-Travers (Immunobiology. 1994, pages 7.3-7.4, Garland Publ., Inc., NY and London) teach "...only one naïve T cell in  $10^5$  is likely to be specific for a particular antigen..." (page 7.3 at the last paragraph), thus indicating that T cells are specific for a particular antigenic peptide and that the frequency of naïve T cells able to react with a particular antigen is extremely low.

The instant claims recite the mixing of a polytope peptide with a sample containing CTL precursors, *i.e.*, naïve T cells that are not primed by antigen, T cells that are very low in frequency as evidenced by Janeway-Travers supra. The scope of the claim encompasses mixing the polytope peptide with a sample from a healthy individual.

In addition, the instant claims recite that a sample of cells that present HLA molecules on their surfaces process the polytope to Melan-A peptides which complex with the HLA molecules, and wherein the complexes of the said HLA molecules and the amino acid sequence of SEQ ID NO: 9 (ELAGIGILTV) induce proliferation of CTL. The specification does not disclose this process for any other HLA molecule except for HLA-A\*-0201.

There is insufficient guidance in the specification as to how to make and/or use instant invention. Undue experimentation would be required of one skilled in the art to practice the instant invention. See In re Wands 8 USPQ2d 1400 (CAFC 1988).

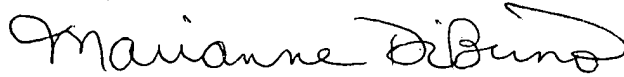
7. Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Marianne DiBrino whose telephone number is 571-272-0842. The Examiner can normally be reached on Monday, Tuesday, Thursday and Friday.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Eileen B. O'Hara, can be reached on 571-272-0878. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

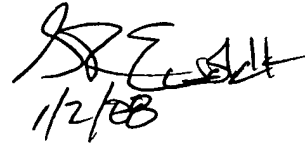
Application/Control Number:  
10/758,673  
Art Unit: 1644

Page 5

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



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January 31, 2008



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